

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

4

**Complete if Known**

Application Number	10/765,213
Filing Date	12/01/2003
First Named Inventor	POWERS, Christopher R.
Art Unit	2839
Examiner Name	ABRAMS, Neil
Attorney Docket Number	P00513-US-02

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 6,247,829 B1	06-19-2001	Lee	
		US- 6,135,780	10-24-2000	Kelwaski et al.	
		US- 6,083,050	07-04-200	Hsu	
		US- 5,989,070	11-23-1999	Al-Turki	
		US- 5,971,814	10-26-1999	Boyd et al.	
		US- 5,800,183	09-01-1998	Paul et al.	
		US- 5,731,656	03-24-1998	Greiler et al.	
		US- 5,626,488	05-06-1997	Albeck et al.	
		US- 5,536,174	07-16-1996	Forish	
		US- 5,455,753	10-03-1995	Vollman et al.	
		US- 5,286,223	02-15-1994	Ogawa	
		US- 5,134,554	07-28-1992	Donato et al.	
		US- 5,035,655	07-30-1991	Hesse	
		US- 4,940,422	07-10-1990	Forish et al.	
		US- 4,804,343	02-14-1989	Reedy	
		US- 4,752,710	06-21-1988	Devir et al.	
		US- 4,664,465	05-12-1987	Johnson et al.	
		US- 4,573,754	03-04-1986	Hill	
		US- 4,373,771	02-15-1983	Cross et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 2

of 4

**Complete if Known**

Application Number	10/765,213
Filing Date	12/01/2003
First Named Inventor	POWERS, Christopher R.
Art Unit	2839
Examiner Name	ABRAMS, Neil
Attorney Docket Number	P00513-US-02

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US- 3,982,813	09-28-1976	Cope et al.	
		US- 3,936,131	02-03-1976	Durand	
		US- 3,569,907	03-09-1971	Landgraf	
		US- 3,950,061	04-13-1976	Kausen	
		US- 4,957,455	09-18-1990	Horiuchi et al.	
		US- 5,032,090	07-16-1991	Roy	
		US- 5,096,427	03-17-1992	Sadigh-Behzadi	
		US- 5,286,233	02-15-1994	Ogawa	
		US- 5,547,402	08-20-1996	Ogawa	
		US- 5,634,823	06-03-1993	Furuta et al.	
		US- 5,709,571	01-20-1998	Briski et al.	
		US- 5,716,240	02-10-1998	Harada	
		US- 5,727,873	03-17-1998	Tyson	
		US- 5,846,100	12-08-1998	Ogawa	
		US- 5,876,249	03-02-1999	Kim	
		US- 5,951,318	09-14-1999	Harada	
		US- 6,040,659	03-21-2000	Masuda et al.	
		US- 6,049,163	04-11-2000	Masuda et al.	
		US- 6,083,050	07-04-2000	Hsu	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)	<b>Application Number</b>		10/765,213
	<b>Filing Date</b>		12/01/2003
	<b>First Named Inventor</b>		POWERS, Christopher R.
	<b>Art Unit</b>		2839
	<b>Examiner Name</b>		ABRAMS, Neil
	<b>Attorney Docket Number</b>		P00513-US-02

Sheet

4

of

4

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	A	Mercury Tail Lamp Socket Manufactured by Cemm Thome	
	B	Zannx Lamp Socket	
	C	Packard Right Angle and Axial Lamp Sockets	
	D	Toyota Right Angle and Axial Lamp Sockets	
	E	North American Lighting Lamp Socket	
	F	CIC Dually Axial Lamp Socket	
	G	Osram Sylvania Axial Lamp Socket	

<b>Examiner Signature</b>		<b>Date Considered</b>	
-------------------------------	--	----------------------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.